O I STENIT & TRUDEMARK OFFICE

1º.

Docket No. <u>5000-4867</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Takahiro HOSHIDA, et al.

Group Art Unit: 3745

Serial No

09/824,324

Examiner: TBA

Filed

April 2, 2001

For

COMPRESSOR PISTON AND PROCESS FOR PRODUCING THE

COMPRESSOR PISTON

BOX: MISSING PARTS Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Sir:

I hereby certify that the attached

(1) Response to File Missing Parts of Application (in duplicate) (along with any paper(s) referred to as being attached or enclosed); (2) Notice to File Missing Parts - Copy to be Returned with Response; (3) Check in the amount of \$130.00 (4) Executed Declaration and Power of Attorney;

(5) Request for Correction of Filing Receipt (6) Copy of Filing Receipt with the changes noted thereon in red. (7) Return postcard; with this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to: Box Missing Parts, COMMISSIONER FOR PATENTS, Washington, D.C. 20231.

Respectfully submitted,

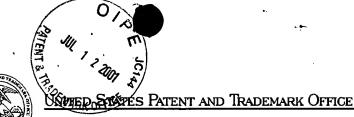
Dated: July 9, 2001

Steven F. Meyer

Registration No. 35,613

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 (Facsimile)





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/824,324

04/02/2001

Takahiro Hoshida

5000-4867

CONFIRMATION NO. 9409

FORMALITIES LETTER

OC000000006058919*

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154

Date Mailed: 05/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 AZERGAW1 00000147 09824324

Applicant(s)

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Attn.: Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

A review of the Filing Receipt mailed May 9, 2001, and received in connection with the aboveidentified application uncovers an error. A copy of the filing receipt with the change marked in red is attached. This communication is submitted to correct the following omission. Specifically:

In the Foreign Applications section, please insert

JAPAN 2000-101020

4/3/2000

JAPAN 2000-101026

4/3/2000

No fee is believed to be due. Should any fees be required for the filing of this communication, the Commissioner is hereby authorized to charge Deposit Account No. 13-4500, Order No. 5000-4867. It is respectfully requested that a Corrected Filing Receipt be issued.

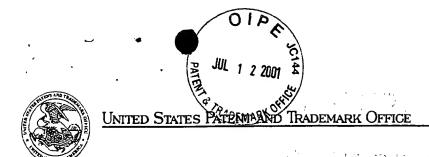
Respectfully submitted,

Dated: July 9, 2001

Steven F. Meyer

Registration No. 35,613

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS FILING DATE 3 04/02/2001 3745 728 5000f4867 12 21 09/824.324

CONFIRMATION NO. 9409

FILING RECEIPT

MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154

OC000000006058918

Date Mailed: 05/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Takahiro Hoshida, Kariya-shi, JAPAN; Fuminobu Enokijima, Kariya-shi, JAPAN; Takayuki Kato, Kariya-shi, JAPAN; Masato Takamatsu, Kariya-shi, JAPAN; Seiji Katayama, Kariya-shi, JAPAN; Shigeo Fukushima, Kariya-shi, JAPAN; Masahiro Kawaguchi, Kariya-shi, JAPAN;

INFORMATION DESCLOSURE FOREIGN FILE CONVENTION DATE EXPIRES

Domestic Priority data as claimed by applicant

Foreign Applications TAPAN

2000-101020

JAPAN

2000-101026

4/3/2000 4/3/2000

If Required, Foreign Filing License Granted 05/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication R quest: No

Title

Preliminary	Class
	092

Data entry by : MUSLIM, ALIA	Team : OIPE	Date: 05/09/2001
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LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



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BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b), Filing Date Granted mailed May 9, 2001, a copy of which is enclosed herewith, enclosed are the following:

- 1. A Declaration and Power of Attorney executed by the inventors, Takahiro HOSHIDA; Fuminobu ENOKIJIMA, Takayuki KATO, Masato TAKAMATSU, Seiji KATAYAMA, Shigeo FUKUSHIMA, and Masahiro KAWAGUCHI
- 2. The required fee of \$130.00.

No further fees are believed to be due, however, the Commissioner is hereby authorized to credit any overpayments or deduct any deficiencies to Deposit Account No. 13-4500, Order No. 5000-4867. A duplicate of this document is enclosed.

Respectfully submitted,

Dated: July 9, 2001

Steven F. Meyer

Registration No. 35,613

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile